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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/566,350	01/27/2006	Tetsuro Tateishi	KUZ0028USNP	2515

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EXAMINER

PURDY, KYLE A

ART UNIT	PAPER NUMBER
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1611

NOTIFICATION DATE	DELIVERY MODE
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10/09/2009

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

poreilly@licataandtyrrell.com

Office Action Summary	Application No. 10/566,350	Applicant(s) TATEISHI ET AL.	
	Examiner Kyle Purdy	Art Unit 1611	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 July 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,5,6,8,9,11 and 20-31 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, 5, 6, 8, 9, 11 and 20-31 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 07/23/2009 has been entered.

Status of Application

2. The Examiner acknowledges receipt of the amendments filed on 07/23/2009 wherein claims 1, 8 and 9 have been amended, claims 3, 4, 7 and 13-19 have been cancelled and claims 21-31 are newly added.

3. Claims 1, 5, 6, 8, 9, 11 and 20-31 are presented for examination on the merits. The following rejections are made.

Response to Applicants' Arguments

4. Applicants arguments filed 07/23/2009 regarding the rejection of claims 1, 3-9, 11 and 13-20 made by the Examiner under 35 USC 103(a) over Modiamo et al. (1998) in view of Hirano et al. (US 6495159) and Higo et al. (US 5866157), evidenced by Walters (1989) have been fully considered and they are found persuasive. This rejection has been overcome by amendment. Note, the rejection of claims 3, 4, 7 and 13-19 have been overcome by their cancellation.

New Rejections

Claim Rejections - 35 USC § 112

5. The following is a quotation of the first paragraph of 35 U.S.C. 112:

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The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

6. Claims 21, 22, 24, 26 and 29 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

7. Claim 21 recites, 'wherein the penetration rate of bisoprolol through the skin is about 34 to 300 $\mu\text{g/hr/cm}^2$ '. While Applicant has support for each of the single points 34 and 300 $\mu\text{g/hr/cm}^2$, Applicant does not have support for about 34 nor does Applicant have support for the instantly claimed range. The term 'about' is understood to encompass values slightly above and below 34, i.e. 33.9, 34.1, 34.2 and so on which Applicant does not have support for. Nor does Applicant anywhere in the original disclosure specifically state about 34'. Nor is it clear to the Examiner that Applicant was in possession of the specially claimed range of about 34 to 300 $\mu\text{g/hr/cm}^2$. This is a new matter rejection.

8. Claim 22 recites, 'wherein the penetration rate of bisoprolol through the skin is about 34 to 54.3 $\mu\text{g/hr/cm}^2$ '. While Applicant has support for each of the single points 34 and 54.3 $\mu\text{g/hr/cm}^2$, Applicant does not have support for about 34 nor does Applicant have support for about 54.3 $\mu\text{g/hr/cm}^2$, nor does Applicant have support for the instantly claimed range. The term 'about' is understood to encompass values slightly above and below, for example about 34 would include values such as 33.9, 34.1, 34.2 and so on, which Applicant does not have support for. Nor does Applicant anywhere in the original disclosure specifically state about 34 or about 54.3. And

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besides the specific data points in Table 2, Applicant does not suggest a range comprising the two points. This is a new matter rejection.

9. Claim 24 recites, 'wherein the pressure-sensitive adhesive layer contains 4.5 to 8.5% of the organic acid.' While Applicant has support for each of the single weight percentage values in Example 7 and comparative Example 1, Applicant does not have support for the instantly claimed range. This is a new matter rejection.

10. Claim 26 recites, 'wherein the pressure-sensitive adhesive layer contains 5 to 15% of the isopropyl myristate.' While Applicant has support for each of the single weight percentage values in Example 5 and comparative Example 1, Applicant does not have explicit support for the instantly claimed range. This is a new matter rejection.

11. Claim 29 recites, 'wherein the pressure-sensitive adhesive layer contains 4.5 to 8.5% of the organic acid and/or the pharmaceutically acceptable salt thereof and 5 to 15% of the isopropyl myristate.' While Applicant has support for each of the single weight percentage of the organic acid values in Example 7 and comparative Example 1 and the isopropyl myristate, Applicant does not have support for the instantly claimed range. This is a new matter rejection.

Claim Rejections - 35 USC § 103

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 1, 5, 6, 8, 9, 11 and 20-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Modamio et al. (International Journal of Pharmaceutics, 1998, 173, 141-148; of record) in view of Hirano et al. (US 6495159; of record), Higo et al. (US 5866157; of record) and Heller et al. (US 4710497; published 12/01/1987), further evidenced by Walters (Transdermal Drug Delivery, 1989, New York, NY, pp. 197-246; of record).

14. Modamio is a study pertaining to the penetration rate of bisoprolol fumarate across a section of human skin. It is taught that bisoprolol is a beta-blocker, and that research is underway to develop transdermal patches for the efficient delivery of beta-blockers such as bisoprolol (and celiprolol) for patients who cannot take medicines by themselves or when oral administration of such drugs may be inadvisable due to unpleasant side effects (see page 142, column 1, 1st paragraph; see instant claim 1). It is taught that the drug is applied to a surface area of 16 cm² (see page 144, column 2, 3rd paragraph) wherein the drug possesses a penetration rate of 1.19±060 µg/hr/cm² (see abstract). Modamios experiments indicate that bisoprolol has a difficult time crossing the skin barrier, and the theoretical plasma concentration provided by the system is well below bisoprolols therapeutic concentration (see abstract). It is stated that in order to for the bisoprolol containing patch to be therapeutically effective, transdermal absorption enhancers are required to improve bisoprolols diffusion properties (see abstract and page 147, first column, third paragraph). Modamio incorporates by reference the teaching of Walters to illustrate typical absorption enhancers which include solvents like water and lower alcohols, surfactants such as fatty acids and fatty alcohols, and other chemicals such as urea (see pages 203-227).

15. Modamo fails to teach the patch that possesses a matrix type adhesive layer, wherein the adhesive layer comprises a carboxyl group such as that of 2-ethylhexyl acrylate-butyl acrylate-

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acrylic acid copolymer. The teaching of Modamio fails to teach the rate of penetration of bisoprolol through the skin as $4.0\text{-}300\text{ }\mu\text{g/hr/cm}^2$. Modamio also fails to specifically teach the absorption promoters as being for example, lauryl alcohol, an organic acid or isopropyl myristate.

16. Hirano is drawn to a percutaneous treatment device that possesses a pressure-sensitive adhesive acrylic polymer layer that allows for the controlled release of a medicine (see column 1, lines 9-10). The acrylic adhesive taught by Hirano may be a copolymer of (meth)acrylic acid alkyl ester monomers and other functional monomers (see column 6, lines 25-31). The (meth)acrylic acid alkyl ester monomers include butyl acrylate, 2-ethylhexyl acrylate, and 2-ethylhexyl methacrylate (see instant claims 1-3, 13, 15, 17, and 19). The functional monomer is said to be a monomer having a carboxylic acid such as acrylic acid, methacrylic acid (see column 6, lines 47-51; see instant claims 1). Furthermore, it is taught in Example 1 and 2 that vinyl acetate may be implemented as a monomer in the copolymer (see instant claim 1). For example, it is present in the copolymer of 2-ethylhexyl acrylate/ethylacrylate/vinyl acetate copolymer (see Example 2). Moreover, the idea of combining an acrylic copolymer with an elastomeric polymer is expressly taught at column 5, lines 43 to line 6 column 3. Specifically, Hirano discloses the use of polyisobutylene (available from Exxon chemical as trade name "Vistanex") and styrene-isoprene-styrene copolymer (available from Japan Synthetic Rubber Co. as "JSR 5000") (see instant claim 1). The reference also teaches the use of aliphatic acids, aliphatic alcohols and esters of aliphatic acids having 7-20 carbon atoms as absorption promoters (see column 4, lines 42-56; see instant claims 8-9). Some specific examples of disclosed absorption promoters include lauryl and myristyl alcohol and they may be used in an amount of 0.1-10% by weight.

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Further, Hirano teaches their patch (see abstract and Figure 1) possesses a backing layer (i.e. drug permeable membrane) which is in direct contact with the adhesive layer (see instant claim 20).

17. Higo is drawn to a matrix patch formulation which comprises an adhesive layer containing a physiological active substance, an organic acid, a hydrophobic material, a tackifying resin, a plasticizer and an absorption enhancer (see abstract). The absorption enhancers (and organic acids) are included in the formulations taught by Higo in order to allow for sufficient uptake of physiological active material from the skin by improving the transdermal mobility for said active substances (see column 1, lines 35-40). Absorption enhancers taught by Higo include organic acids such as lactic acid (see column 2, lines 62-66 and column 3, lines 12-19) as well as the absorption enhancer isopropyl myristate which are to be used in an amount of between 0.1-20% by weight (see column 5, line 11; see instant claims 1).

18. Heller is directed to methods of percutaneously administering physiological agents to the skin. Examples 40 and 41 are to determining the rate of penetration of the beta-blocker pindolol with different absorption enhancers. Using isopropyl myristate the rate ranged from 14.6 up to 98.1 $\mu\text{g/hr/cm}^2$ (see instant claims 1, 21 and 22). It's taught that the absorption promoters are to be used in an amount of between 0.01-50% by weight of the composition (see column 9).

19. Thus, it would have been obvious to one of ordinary skill, at the time the invention was made to combine the references of Modamio, Hirano, Higo and Heller because in doing so would result in a transdermal matrix type patch that possesses improved adhesive properties while allowing for the modulated release (and improved absorption properties) of the active substance, bisoprolol. The significance of Modamio is that the reference suggests using a

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transdermal patch for the delivery of bisoprolol. Albeit true that Modiamo fails to teach a transdermal patch explicitly, Modiamo does state that the transdermal pathway is of interest for the administration of the drugs being studied. Such a recitation would motivate any ordinarily skilled artisan to look to the art so as to identify a structure capable of supporting such a transdermal delivery system. With respect to the penetration rate of bisoprolol, it is also noted that the value disclosed by Modiamo is below the instantly claimed values. However, Modiamo teaches that this rate could be substantially improved by adding various absorption enhancers. Higo, Hirano and Heller each teach using penetration enhancers in their compositions to aid in the penetration rate of bisoprolol. In fact Heller uses isopropyl myristate with a beta-blocker and achieves rates of penetration between 14.6 up to 98.1 $\mu\text{g/hr/cm}^2$. As Modiamo suggests that penetration enhancers would have to be added to the transdermal delivery system to improve the drug's percutaneous absorption properties, one would have looked to other transdermal delivery systems for delivery of similar agents. If such a result was the finding that a delivery rate of 98.1 $\mu\text{g/hr/cm}^2$ resulted in a pharmacologically useful plasma bisoprolol concentration, then this would have been a product of common sense and ordinary skill in the art. The instantly claimed amounts of absorption enhancer are also suggested by Higo, Hirano and Heller. Each of these teachings suggests using the absorption enhancer in an amount as instantly claimed. With respect to the use of 2-ethylhexyl acrylate/acrylic acid/vinyl acetate copolymer, this is obvious. First, the notion of implementing an acrylic adhesive layer for the delivery of bisoprolol is obvious because one would want the patch to be capable of effectively adhering to the skin for constant delivery of the substance. Second, the teaching of Hirano teaches an array of monomers to be used in the synthesis of copolymers which include 2-ethylhexyl acrylate, acrylic acid and vinyl

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acetate. It would have been obvious to copolymerize these monomers as it stated by Hirano that the adhesive copolymer preferably contains monomers having the aforementioned chemical names. Reading from a list and selecting from disclosed compounds, in this case acrylic monomers, is no more ingenious than selecting the last piece to put in the last opening of a jigsaw puzzle. See MPEP 2144.07. Therefore, a matrix patch capable of delivering bisoprolol is *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, as evidenced by the references, especially in absence of evidence to the contrary.

Conclusion

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kyle A. Purdy whose telephone number is 571-270-3504. The examiner can normally be reached from 9AM to 5PM.

21. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sharmila Landau, can be reached on 571-272-0614. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

22. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Kyle Purdy/
Examiner, Art Unit 1611

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October 5, 2009

/David J Blanchard/

Primary Examiner, Art Unit 1643